Reference Materials for Incoming Graduate Students

September 2012

MIT EECS
ELECTRICAL ENGINEERING AND COMPUTER SCIENCE

September 2012
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GETTING STARTED

Welcome to new students joining the EECS Department for the first time this fall. We have completely revised this booklet over the summer, with the goal of making it more user friendly, searchable, and readable. This document borrows heavily from, but ultimately replaces the memos of departmental policy from previous years, and will be added to the EECS website by topic.

[Note: All graduate forms described in this document are included in the folder on your department flash drive.]

Orientation for new SM and PhD students will be held on Wednesday, August 29, 2012. This will be part of a full orientation schedule organized by the departmental Graduate Students Association (GSA), and the Institute-wide Graduate Student Council (GSC). The departmental orientation is administered jointly by the EECS GSA and the EECS Graduate Office. We hope to provide all the information that new students will need to become engaged in their graduate programs during the first term. Being present at this event is highly recommended.

Graduate Counselors are faculty members assigned to advise students on their academic programs and departmental requirements. For students starting in the fall, the Graduate Counselors are typically assigned during the prior summer. The assignment process is done by Area, and Graduate Counselors are intended to complement the advice from the Research Advisor. If it turns out that the same person is assigned to both roles, we will make every effort to assign a new Graduate Counselor. Graduate Counselors make themselves available to meet with their advisees in person on (or prior to) Registration Days in the fall and spring terms.

Special seminars are held in the fall term, as an enrichment option for incoming students. These seminars provide an opportunity to connect with faculty members, in order to discuss the EECS graduate program and/or graduate life in general. Incoming students can sign up for the seminars at the departmental orientation. The special seminars being offered in Fall 2012 include:

- New Women in EECS Seminar
  - Prof. Leslie Kolodziejski
- Networking Seminar
  - Prof. Leslie Kolodziejski
- Area I Seminar
  - Prof. Alan Oppenheim
- Intro: Research in EE & CS (6.961)
  - Prof. Erich Ippen

Registration is a process of signing up for classes (subjects), seminars, and thesis units. A day is reserved for this activity at the beginning of the fall and spring terms. While the registration process is now online (as of Spring 2012) students are still expected to meet with their Graduate Counselors to discuss their class selections, and graduate program milestones. Graduate students can opt to take subjects for credit, pass/fail, or as listener. EECS graduate students can register for credit in no more than two subjects per term (exclusive of thesis units and seminars).
Changes can be made to registration, by way of add/drop forms (still on paper!). The Registrar has deadlines for adding and dropping subjects in each term, and actions made after this date will require an Institute petition (to be approved by the Office of the Dean for Graduate Education - ODGE) and will incur a petition fee.

**Pre-Registration** is a process that informs the departments about enrollment projections for subjects. The Registrar has deadlines for pre-registration, generally:

- Month of May for Summer and Fall Registration
- Month of December for Spring Registration

**Summer Registration** is expected for students who are at MIT carrying out research over the summer, and is required for students who receive summer funding of any kind. Summer tuition is subsidized by MIT for students taking thesis units only.

Students who wish to take time off over the summer should negotiate this with their research advisor and inform the EECS Graduate Office. International students carrying out summer internships need to arrange the appropriate visa status (Curricular Practical Training) with the International Students Office (ISO). EECS students who are not on campus and are carrying out internships do not register during the summer.

**Choosing a Research Advisor**
Research Advisors are faculty members who supervise a graduate thesis, provide funding, lab space, and access to equipment. Generally students arrive at MIT in EECS with a research advising relationship in place, which should be documented by turning in a Research Supervision Agreement (purple form) to the EECS Graduate Office.

If students have not secured a research advisor by the time they arrive at MIT, they are expected to take the Intro: Research in EE & CS Seminar (6.961) in the first semester and work diligently toward finding an advisor before the start of the second term. Once a research advising relationship is in place, this should be documented by turning in a Research Supervision Agreement (see Appendix) to the EECS Graduate Office.

**Who can supervise a Master’s Degree? Who can supervise a PhD degree?**
The EECS Department permits any faculty member at MIT to supervise research that will be used for a Master's thesis or for the PhD thesis. For the Master's thesis, only the research advisor approves the thesis and assigns a grade. However, for the PhD degree, the thesis committee must include two faculty members from the EECS Department. The PhD thesis advisor can be outside of the EECS Department and may reside in any other MIT department or division. Occasionally, a thesis
supervisor will not be on the faculty of MIT, such as research staff at MIT Lincoln Laboratory or Charles Stark Draper Laboratory. Occasionally, a research supervisor may be a faculty member at a collaborating academic institution. In such cases, special approval of the Committee on Graduate Students (CGS) is required. Also, non-faculty members may be allowed to supervise thesis research; special approval is also required from the CGS. Requests of such approval should be made to the appropriate Area Chair prior to beginning the thesis research.

**Funding** for EECS graduate students can be categorized in three different ways:

Fellowships can be from internal or external sources, and generally pay for a student’s tuition, medical insurance, and a monthly stipend. Depending on the amount paid by the fellowship, supplementation by a research assistantship (RA) or teaching assistantship (TA) may be necessary.

Research Assistantships are provided to students performing research under a particular grant, and cover tuition, insurance, and a monthly salary (paid at the end of each month).

Teaching Assistantships are provided to students who assist with departmental teaching activities, and cover tuition, insurance, and a monthly salary (paid at the end of each month). Advanced teaching assistantships are known as Instructor G’s.

The appointment periods for RA/TA are:
- **Fall** (9/1 to 1/15)
- **Spring** (1/16 to 5/31)
- **Summer** (6/1 to 8/31)

A **Masters Degree (SM)** is a necessary first degree in the EECS graduate program. Students who have a Masters Degree from MIT (MEng or SM) or another institution do not need to earn another Masters Degree at MIT, and can proceed directly into the doctoral program.

The EECS Masters Degree has three components:
* Coursework
* Thesis Proposal
* SM Thesis

**Unit Requirement**

The coursework requirement for the SM degree in EECS is 66 units, of which 42 must be from subjects designated as H level. This typically means that students take four H level subjects totaling 48 units. The remaining 18 units can be H level or G level, and are usually satisfied by the G level units taken in the first year as subject 6.960 (Introductory Research in EECS).
**Thesis Proposal and Final Thesis**

A thesis proposal should be submitted early in the third term, laying out the scope of research and its timeline, and providing appropriate references. A sample title page for the SM thesis proposal can be found in the Appendix of this document. The research advisor approves the thesis proposal.

Students should join the most appropriate degree list (via WEBSIS) in order to let the Registrar know of their intention to complete a degree. MIT awards degrees in June, September, and February of each year. Once on the degree list, the EECS Graduate Office will communicate with degree candidates about precise departmental deadlines and expectations. Please note that students must be registered in a term when their name appears on an MIT degree list.

The final SM thesis (2 copies on archival bond paper) should be submitted to the EECS Graduate Office by the end of the fourth term of graduate study. The thesis is certified by the research advisor(s) and accepted by the EECS Graduate Officer. A sample title page for the SM thesis can be found in the Appendix of this document.

A letter grade, assigned by the research advisor, for the SM thesis will be provided to the Registrar, and will appear on the MIT transcript, replacing the thesis grades previously submitted for the student. After completing all requirements for the SM, students will be paid at the doctoral rate for the next appointment period.

An **Engineers Degree (EE or ECS)** is an additional degree that students can pursue beyond the Masters, with a heavy component of coursework. Everything above also applies to the Engineers Degree, but the coursework requirement is 162 units, of which 90 units must be H level, which corresponds to 8 H level subjects.

Students who have earned an MEng (or SM) degree at MIT can sometimes use the thesis to satisfy the Engineers thesis, provided their research advisor certifies that the thesis is of superior quality. They will still need to satisfy the coursework requirements noted above.

**QUALIFYING FOR THE DOCTORAL PROGRAM**

Qualification is the process of approving a student to be in the doctoral program. Qualification consists of two parts, which have been standardized for all areas of the department. The written portion of the qualifying process is accomplished via the successful mastery of the selected coursework, whereas the oral exam focuses on a discussion of a body of research. To be qualified, a student must pass both the technical qualifying evaluation and the research qualifying exam.
**Technical Qualifying Evaluation (TQE)**

As of September 2011, the TQE in all EECS areas consists of completing 4 H level subjects, from an approved list of appropriate subjects. The TQE grid (see Appendix) has been designed to provide a degree of both depth and breadth.

Students submit a TQE plan by February 1st of their first year, laying out the subjects that they will use to satisfy the TQE by the end of the third term in residence. The TQE plan should be developed in consultation with the Graduate Counselor and Research Advisor. If a student needs additional time to finish the TQE, they should file a departmental petition requesting a reasonable extension (see Appendix).

Passing the TQE is accomplished by obtaining A’s in at least three of the four TQE subjects. Passage of the TQE is noted in the EECS departmental database. If a student does not pass the TQE, by obtaining two or more B’s in the proposed subjects, an oral exam committee will be formed to examine the student in the areas in which there may be deficiencies.

**Research Qualifying Exam (RQE)**

The RQE is an oral exam, to be completed after passing the TQE, and by the end of the sixth graduate term. Students apply for the RQE by completing a form (Areas I, III, IV, and VII) or by applying through the Area II website. Students may designate three EECS faculty members who would be good choices for the student’s RQE committee. Ultimately, the Area Chair will assign a RQE committee taking into account the student’s preferences, but also balancing faculty loads and responsibilities.

The RQE committee consists of two faculty members, one of whom is the chair and the other is the committee member. The RQE committee solicits input from the student’s research advisor(s), and arranges a time to meet with the student, having reviewed a paper written by the student that has been submitted in advance. The student gives a 30 minute presentation on their work (often the SM thesis work). The faculty committee then asks the student about the work he/she has presented.

Following the meeting, the committee chair prepares a report either recommending qualification, asking for continued work, or not qualifying the student. The results are communicated to the EECS Graduate Office, and if qualified, this is noted in the departmental database and forwarded to the Registrar’s Office.

**DOCTORAL PROGRAM**

The Institute's **residence requirement** for the doctorate is four regular academic terms (not including summer sessions) of graduate work or equivalent. (Residence credit for the SM or MEng counts toward the doctoral requirement as well.) The average length of time spent by doctoral candidates at present is about 12 regular terms.
A **doctoral thesis proposal** is a requirement for the doctoral program, and is to be completed by the end of the eighth term as a graduate student. The document should be turned in to the EECS Graduate Office, along with research advisor and reader agreements from the thesis committee (see below). The document should consist of a cover page, signed by the author (see example of a cover page that could be used for a SM, EE, or PHD thesis in the Appendix), some description of the proposed content of the thesis, timeline to completion, and references. Upon submission, the proposal is routed to the Area Chair, for approval. Upon approval, the proposal is filed in the student file. The thesis proposal is not a publicly circulated document.

An EECS PhD **thesis committee** must consist of at least three members, two of whom must be EECS faculty members. At the time that the proposal is submitted, supervisor and reader agreements must be turned in, signifying that the faculty members agree to be part of the thesis committee.

The EECS Department believes that annual **thesis committee meetings** are helpful in directing the research, and speeding along the time to degree. Students are encouraged to have an initial thesis committee meeting at the time of the thesis proposal submission, and every year after that, leading up to the thesis defense. A form for documenting thesis committee meetings exists (see Appendix), and is also on your EECS flash drive. The meeting dates and reports will be logged in the EECS database, and filed in the student files.

Each student in the EECS doctoral program must complete a **minor program**, approved by the EECS Graduate Officer or the student’s Area Chair, consisting of two MIT subjects, at least one of which is an advanced graduate subject. The intent of the minor is to provide a broadening experience, and therefore the minor program field must not be directly related to a student’s area of research. In fact, the subject matter may fall well outside of Electrical Engineering or Computer Science.

The subjects in the minor program should constitute a coherent study within a single discipline, and provide at least 18 credit units. One of the subjects may be introductory level. At least one of the subjects must involve study at an advanced level (typically H). The minor is typically proposed in advance of taking the subjects. The minor program can be submitted for final approval using the appropriate web application (depending on student’s area). Once approved, the minor program is logged in the EECS database, and will be checked for completion at the time that the student applies for a doctoral degree.

Each doctoral student is expected to take part in the department’s teaching program. In order to satisfy this **teaching requirement**, the student must complete a one-term teaching assignment, usually as a compensated Teaching Assistant. The assignment may involve direct teaching or course development. If a student applies
twice for a teaching assignment and no suitable position is available, then the requirement is waived. In some cases, students can petition to use teaching service outside of EECS subjects to satisfy the teaching requirement (examples, service as a TA for the summer Women’s Technology Program, or as a TA in another department). In such cases, a departmental petition should be filed to document the teaching activity, and is approved by the EECS Graduate Officer.

When the thesis is substantially completed and the student has prepared a document of preliminary character that summarizes the work to the satisfaction of the thesis supervisor and committee, a thesis defense can be scheduled. The candidate defends the thesis orally before the supervisor and thesis readers, together with other guests whom the thesis committee may choose to invite. The thesis presentation is usually a publicly announced one-hour talk. If the defense is satisfactory, the student is instructed to proceed with the final write-up incorporating suggestions made during the thesis examination.

If all other requirements have been satisfied, the doctoral program is completed when the supervisor signs the thesis, reports a grade of Satisfactory (SA), and when the student submits two copies of the thesis document to the Department Graduate Office. Doctoral theses are due approximately two weeks before the end of any regular term, and the last Friday before Labor Day during the summer term.

Awarding of degrees occurs three times each year, according to degree lists that are closely monitored by the EECS Graduate Office, and which are then approved by the MIT Corporation. The degree dates are:

**September**  (for theses submitted in the summer term)
**February**   (for theses submitted in Fall term or Independent Activities Period)
**June**       (for theses submitted in Spring term)

Since MIT has one central Commencement each year, on the first Friday in June, students on the September and February degree lists can elect to receive their diploma at Commencement. Students who are not interested in participating in Commencement may receive their diploma (and doctoral hood) earlier, or immediately after Commencement by contacting the Registrar’s Office. Upon request, the EECS Graduate Office can produce a letter for employers noting that the degree requirements have been completed in advance of a diploma being awarded.
MISCELLANEOUS

On the topic of additional employment, the MIT Office of the Dean for Graduate Education (ODGE) Policies and Procedures state that a graduate student may not interrupt an academic program to accept employment on academic, administrative, or research staff, or as an hourly employee at MIT, Lincoln Laboratory, or the Charles Stark Draper Laboratory. This rule applies during the academic year or during the summer, unless the approval of the department head and of the appropriate academic dean has been obtained, and provided the work as an employee is not related to the student’s thesis research. A thesis release form (see Appendix) indicating such approval must be submitted to the Human Resources Department to effect such employment. A graduate student may not include as part of the thesis any material based upon work done while holding an academic or research staff appointment.

Graduate students who hold full time research or teaching assistantships, or who receive full support on a fellowship or traineeship, are not usually eligible for such employment. A full time (100 percent) teaching assistant or research assistant is defined as 20 hours of work per week. A student who is a US citizen or permanent resident who applies to work, in addition to his or her RA or TA appointment, may be permitted additional compensated employment at MIT for no more than 10 hours per week during the academic year, especially to support student life and learning activities (e.g., staffing the front desk of a residence hall). Consult the EECS Graduate Office for approval before undertaking such employment. Regulations for international students are stricter, due to US immigration laws. For more information on this, please see the Graduate Policies and Procedures at the website: http://web.mit.edu/odge/gpp.

It is generally expected that EECS graduate students register during the summer, for thesis units only. If registered for thesis (or the introductory subject 6.960) the summer tuition subsidy will cover the cost of tuition. It is not unusual for students to take a summer internship to enhance their research experience. In such cases, students should not pre-register for summer, and should notify Janet Fischer in the EECS Graduate Office so that the registration is not processed. International students taking summer internships need to take the additional step of securing immigration clearance, known as curricular practical training (CPT). CPT begins with a conversation with the International Students Office, where certain documentation will be needed. The EECS Graduate Office also monitors CPT, and manages the evaluation of the internship. The internship supervisor submits an evaluation, after which a grade is given for subject 6.999, to be registered for in the Fall term following a summer internship.
The EECS Department suggests and monitors a graduate program timeline that can be found in the box below, which is designed to keep students moving through the program. While the deadlines are serious and meant to be in the student’s best interest, we do try to be reasonable, and exercise flexibility. If meeting a particular deadline is problematic, please seek the advice of the EECS Graduate Office as soon as possible.

**Timeline and Deadlines**

**1st Term:** Submit Research Supervision Agreement form

**2nd Term:**
- Submit TQE plan by February 1st
- Submit SM proposal by end of term, or August 31st at the latest

**End of 3rd Term:** TQE completed

**End of 4th Term:** Masters Degree completed

**5th Term:**
- Register or apply for the RQE
- Students who have not completed the TQE can continue in the program only with permission of the Committee on Graduate Students (CGS). Students who have not submitted the Master’s thesis by the beginning of the term will not receive financial support from the Department.

**End of 6th Term:**
- Submit Doctoral Thesis proposal
- Students who have not qualified by completing the RQE and Master’s thesis need explicit permission from the CGS to continue.

**9th Term:**
- All requirements including minor program and teaching should be completed by this term.
- Students who have not submitted a doctoral thesis proposal will not receive financial support.

**End of 14th Term:** Continuation in doctoral program requires explicit approval of CGS.

Students may receive warning letters to alert them to an upcoming deadline, or if a deadline has passed. Such warning letters are typically sent as a pdf from the Graduate Officer to the student, copied to the Research Advisor and Graduate Counselor. It is our preference that students meet the deadline, but if there are extenuating circumstances, students are allowed to petition the department (see Appendix) for a reasonable extension.

If a deadline is not met, and there is not an approved petition on file, funding will be held until the required item has been submitted.
Similarly, the **Institute has a petition process** for other requests such as:
- Election of a dual degree
- Completion of an incomplete from a prior semester or year
- Choosing a thesis field not currently on the list of departmental thesis fields
- Adding or dropping a subject after the stated deadlines
Institute petitions require departmental and instructor approval, and are then reviewed by the ODGE (Room 3-138). A processing fee of $50 is assessed to the student account for each petition that is approved.

**Non-Resident Status**
MIT allows qualified (post TQE and post RQE) doctoral students who have submitted a doctoral thesis proposal to apply for a reduced tuition status, if they are going to be away from MIT for a term (fall or spring) or academic year, and are not using MIT resources. Non-resident status must be approved by the Department and the ODGE, at least one month before the term begins. This status is meant for students who are not at MIT using office or lab space, or living in MIT graduate dormitories. Tuition under non-resident status is 5% of regular tuition for the first three terms, and then 15% for a fourth, fifth, or sixth term. Students are generally not allowed to pursue non-resident status beyond the sixth term. At the point that the thesis is ready to be defended and submitted, a student would need to return to full-time graduate status. It is best to consult with Janet Fischer in the EECS Graduate Office before applying for non-resident status, and map out a plan for the remainder of the graduate program.

The request form for non-resident status can be found on the EECS flash drive.

**Tuition and Fees**
MIT bills tuition in advance of each fall and spring semester. Accompanying each tuition charge is the student medical insurance charge, and the student life fee. Normally, an RA or TA covers the cost of the tuition and insurance, with the student responsible for paying the student life fee. A student could waive the student insurance through the MIT Student Insurance Office if they can prove in a timely manner that they have comparable coverage, typically from a parent or spouse.

The Registrar maintains several current tuition schedules, which are available here: [http://web.mit.edu/registrar/reg/costs/graduate/index.html](http://web/mit.edu/registrar/reg/costs/graduate/index.html)

Of particular interest to graduate students are the following tables and list:
- Pro-ration tables - shows how tuition is prorated, should a student complete a terminal SM or PhD degree before the end of a fall or spring semester.
- Graduate thesis tuition rules - illustrates various scenarios for students returning to complete a thesis after being withdrawn or on non-resident status.
- Miscellaneous fees such as student life fee, late registration fee, thesis processing fee, etc.
Resources for EECS Graduate Students

**Student Organizations:**
- EECS Graduate Student Association ([http://web.mit.edu/eecsgsa/www](http://web.mit.edu/eecsgsa/www))
- EECS REFS (peer support) ([http://www.eecs.mit.edu/refs/](http://www.eecs.mit.edu/refs/))
- The Graduate Student Council ([http://gsc.mit.edu](http://gsc.mit.edu))

**EECS Faculty and Administration:**
  - Janet Fischer (academic program) jfischer@mit.edu
  - TBA (admissions and fellowships)
- Graduate Counselor
- Thesis Advisor
- Area Chairs:
  - Area I: Prof. Gregory Wornell
  - Area II: Prof. Daniel Jackson
  - Area III: Prof. David Perreault
  - Areas IV: Prof. Jeffrey Lang
  - Area VII: Prof. Louis Braida
  - Area VII: Prof. Collin Stultz
  - EECS Graduate Chair: Prof. Leslie Kolodziejski
- Department Head: Prof. Anantha Chandrakasan

**MIT Office of the Dean for Graduate Education:**
- Dean for Graduate Education: Dean Christine Ortiz
- Associate Dean for Graduate Education: Dean Blanche Staton

**Appendix**

Please see an appendix of additional documents; a collection of forms that have been referenced in this handbook. These forms are loaded onto your EECS flash drive, and hard copies of these forms can be found in the EECS Graduate Office, and can also be found on the EECS website.

- New Student Medical Form
- Research Supervision Agreement
- Sample title page for SM/PhD thesis proposal
- Sample title page for SM/PhD thesis
- TQE Application Form
- EECS Departmental Petition
- Thesis Committee Meeting Form
- EECS Minor Approval Form
- ODGE (Institute) Graduate Student Petition
- Non-Resident Status Petition Form